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United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandris, Vinginia 22313-1450 www.usplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/799,579

03/11/2004

H. Nakata

FUJH 21.010

CONFIRMATION NO. 6476

026304 KATTEN MUCHIN ZAVIS ROSENMAN **575 MADISON AVENUE** NEW YORK, NY 10022-2585

FORMALITIES LETTER OC000000012815424*

Date Mailed: 05/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$986 for a Large Entity

07/22/2004 DEMMANU1 00000054 501290

• \$770 Statutory basic filing fee.

\$130 Late oath or declaration Surcharge.

01 FC:1001 02 FC:1051 770.00 DA

130.00 DA

03 FC:1201

86.00 DA

(IPE

- Total additional claim fee(s) for this application is \$86
 - \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Cla

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Hidehiko NAKATA, et al.

Serial No : 10/799,579 Filed : March 11, 2004

Title : OPTICAL WAVELENGTH SWITCH HAVING PLANAR...

Art Unit : 2874 Confirmation No. : 6476

July 20, 2004

Mail Stop MISSING PARTS
Director of the U.S. Patent and
Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE FOR NOTICE TO FILE MISSING PARTS

To the Commissioner for Patents:

We have received a Notice to File Missing Parts of Application dated May 28, 2004, a copy of which is attached. In reply thereto enclosed please find the following items:

X 1. Declaration;

 \underline{X} 2. Return post card;

 \underline{X} 3. Copy of Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully/submitted

Brian S. Myers Reg. No.: 46,947

CUSTOMER NO.: 026304 TELEPHONE:(212) 940-8800

FAX: (212) 940-8986

Docket No.: FUJH 21.010 (100794-00570)

Filed by Express Mail

(Receipt No. EL 980188873US)

pursuant to 37 C.F.R., 1.10.

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